

#5/Verified
Small
Entity

1.118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
37 CFR 1.9(c-f) and 1.27(b-d) -
INDEPENDENT INVENTOR -

Docket Number:

the LORD thy God

Application Number
09/849,373

Filing Date
05/04/2001

Examiner
Ponomarenko

Art Unit
2834

Invention Title **P.M.E. OF GOD ON GENERATING STATIONS**

Inventor(s) **Herbert R. Floyd**

Address to:
Assistant Commissioner for Patents
Washington D.C. 20231

With respect to the invention described in the U.S. Serial No. 09/849,373, filed on 05/04/2001, I declare that I am an independent inventor and that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office under 35 USC 41(a) and (b).

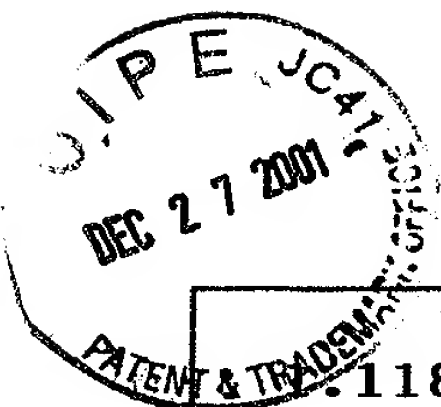
1. OWNERSHIP OF INVENTION BY DECLARANT

I hereby declare that all of the rights under contract or law remain with me, and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a non-profit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☐ persons, concerns or organization listed below

Express Mail No. EK498903459US



118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION.

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: Herbert R. Floyd
ADDRESS: P.O. Box 286-779
N Y C, N Y 10128


RECEIVED
JAN-7 2002
TECHNICAL CENTER

2. ACKNOWLEDGMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

3. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Inventor's Name	Signature	Date
HERBERT R. FLOYD		12/27/2001

Sir:

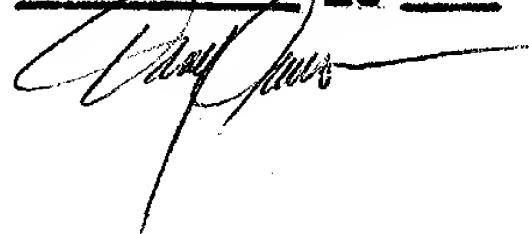
The foregoing Amendment which is enclosed, herewith, is accompanied by a verified statement that such Amendment thus includes no new matter.

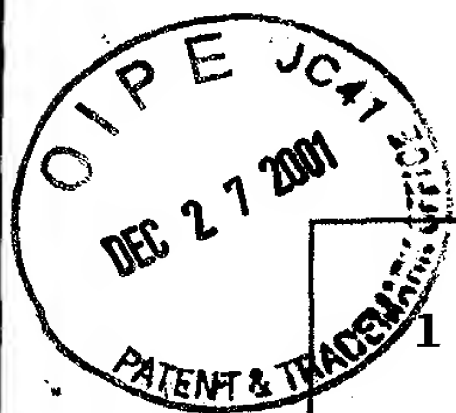
DANNY CARRERO
Notary Public, State of New York
No. 01CA5041479
Qualified in Westchester County
Cert. Filed in Bronx County
Commission Expires 11/3/03

SIGNED & SWORN TO
ME BEFORE THIS

77 DAY OF December 2001

Express Mail No. EK498903459US





#6/SUB
DEC.

1.118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

DECLARATION

ATTORNEY'S DOCKET NO.

the LORD thy God

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **P.M.E. OF GOD ON GENERATING STATIONS**, the specification of which a C I P

AMENDMENT is attached hereto.

The C I P was filed on May 4, 2001 as
United States Application Number or PCT International
Application Number 09/849,373
and was amended on December 27, 2001
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

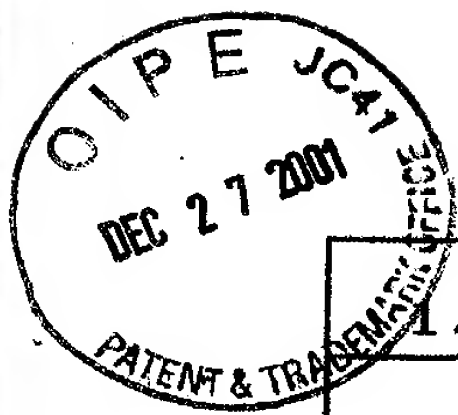
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

PRIOR FOREIGN APPLICATION(S)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Express Mail No. EK498903459US

RECEIVED
JAN-7 2002
TECHNOLOGY CENTER 2800



1.118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION.				
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
<p style="text-align: center;"><u>PRIOR UNITED STATES APPLICATION(S)</u></p> <p>I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.</p>				
APPLICATION NUMBER		FILING DATE (day, month, year)		
08/390,484		17 February 1995		Abandoned
<p>I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:</p>				
APPLICATION NUMBER	FILING DATE (day, month, year)		STATUS (i.e. Patented, Pending, Abandoned)	
08/749,784	15 November 1996		Patented	
08/980,485	28 November 1997		Abandoned	
SEND CORRESPONDENCE, AND DIRECT TELEPHONE CALLS TO:				
<p>HERBERT R. FLOYD P.O. BOX 286-779 N Y C, N Y 10128 (1-212) 860-7896</p>				
Express Mail No. <u>EK498903459US</u>				

1.118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR	FAMILY NAME Floyd	FIRST GIVEN NAME Herbert	SECOND GIVEN NAME Ray
RESIDENCE & CITIZENSHIP	CITY New York,	STATE OR FOREIGN COUNTRY New York	COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS P.O. BOX 286-779 N Y C, N Y 10128	CITY New York,	STATE & ZIP CODE/COUNTRY New York 10128

Signature



Date

12/27/2001

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

Signature



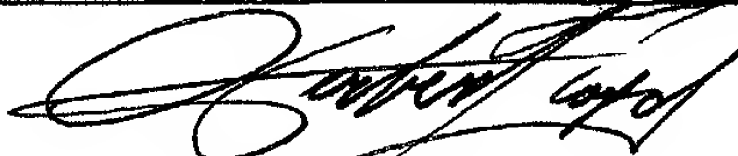
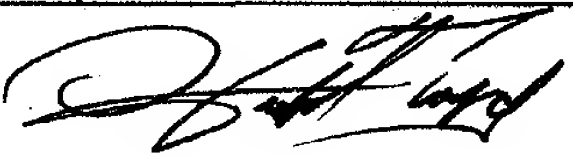
Date

12/27/2001**Sir:**

While permissible changes in the construction shown in any drawing may be made only by the submission of a substitute drawing by applicant, kindly, substitute these new drawing **FIGS. 4-5B** in place of **FIGS. 4-5**.

Express Mail No. EK498903459US

1.118 AMENDMENT AFTER THE FIRST EXAMINATION AND OFFICE ACTION.

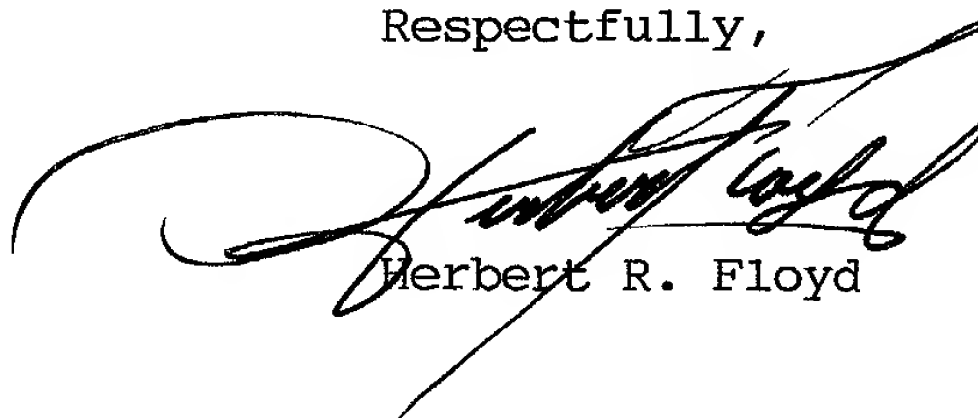
FULL NAME OF INVENTOR	FAMILY NAME Floyd	FIRST GIVEN NAME Herbert	SECOND GIVEN NAME Ray
RESIDENCE & CITIZENSHIP	CITY New York,	STATE OR FOREIGN COUNTRY New York	COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS P.O. BOX 286-779 N Y C, N Y 10128	CITY New York,	STATE & ZIP CODE/COUNTRY New York 10128
Signature 		Date 12/27/2001	
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
Signature 		Date 12/27/2001	

157510-1

Sir:

The above named applicant is consequently requesting that the P.T.O. arrange an appointment, whereby defining a date, so that a demonstration will show his working model, such as to thereby demonstrate its operability.

Respectfully,


Herbert R. Floyd

Express Mail No. EK498903459US